

TITLE PAGE

Predicted effects of stopping COVID-19 lockdown on Italian hospital demand

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All authors approved the final version of the manuscript.

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Funding Information: n/a

Word Count: abstract: 197 words; main text: 1820

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Running Title: ending covid-19 lockdown and hospital demand

Keywords: Intensive Care; COVID-19; pandemic; surge capacity;

33 **Abstract**

Objective: Italy has been one of the first countries to implement mitigation measures to curb the COVID-19 pandemic. There is now a debate on when such measures should be loosened. Our aim
36 was to forecast the Italian hospital demand for COVID-19 during the following months, assuming
different approaches in reducing the restrictions currently in place.

Methods: We used a compartmental model to evaluate two scenarios: A) an intermittent lockdown;
39 B) a gradual relaxation of the lockdown. Predicted intensive care unit (ICU) and non-ICU demand
was compared with the peak in hospital bed utilization observed in April 2020.

Results: Under scenario A, while ICU demand will remain below the peak, the number of non-ICU
42 will substantially rise and will exceed it (132%; 95%CI: 95-174). Under scenario B, a rise in ICU
and non-ICU demand will start in July and will progressively increase over the summer 2020,
reaching 91% (95%CI: 70-113) and 223% (95%CI: 180-272) of the April peak.

45 **Conclusions:** Italian hospital demand is likely to remain high in the next months if restrictions will
be reduced. Planning for next months should consider a diffuse increase in healthcare resources to
maintain at least the contingency level across the country.

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BACKGROUND

Since December 2019, the Coronavirus Disease 19 (COVID-19) has rapidly escalated into a
51 pandemic that is currently threatening most of national health systems across the world.¹ To date,
with more than one hundred confirmed cases of COVID-19 and twenty thousand deaths,² Italy is
one of the most affected countries, accounting for the highest death toll in Europe (22%)³ and for
54 15% of the Global death toll.¹ Italy has been also one of the first countries in the world to
implement mitigation measures, i.e. isolation, quarantine, social distancing and community
containment, in the attempt to curb the COVID-19 pandemic. On March 9th, 2020,⁴ a national
57 lockdown was issued, including: a) strict home confinement for the entire population; b) closure of
all non-essential commercial activities; c) mobility restrictions between municipalities. Thanks to
these measures, Italy is now experiencing a decrease of new COVID-19 confirmed cases and
60 hospital admissions.² As in other countries, there is now an ongoing debate on when such measures
should be loosened, and what can be the best strategy to manage this new phase of the pandemic.⁵
In particular, there are concerns on the effects that an untimely lift of the lockdown could have on
63 healthcare systems.

Pandemics are disasters characterized by a slow but exponential onset and a prolonged impact.⁶
Even though the gradual increase of cases allows governments to progressively enact mitigation
66 strategies and countermeasures to face an incoming wave, the sustained spread of the disease, along
with a possible delayed recovery of patients, can easily lead to healthcare capacity saturation and
surge capability exhaustion.⁷ In particular, the COVID-19 pandemic has been posing unique
69 challenges to emergency medical services (EMS), emergency departments (ED), and critical care
units, in terms of staff, staff and structures. Italian intensive care units (ICU) are currently
experiencing an unprecedented and sustained demand that depleted resources on a local, regional,
72 and national levels,⁸ thus possibly hampering a fast and further surge in capacity if a new wave of
cases should occur. In this study we aim to forecast the Italian hospital demand for COVID-19

during the following months, assuming different approaches in reducing the restrictions currently in
75 place.

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99 METHODS

Model definition

We used a compartmental model to predict hospital demand in Italy associated with the COVID-19
102 pandemic. The model was implemented using R software, and an overview of it is provided in
Supplementary Figure 1 and Table 1. The input of the model is the number of infected individuals
in each day of the simulation. Observed numbers of infected individuals were used until April 17th
105 (last available observation). The future number of infected under different scenarios (see hereafter)
was generated using the method proposed by Tuite and colleagues.⁹ Based on the number of
infected individuals, the model provided the daily number of ICU and non-ICU patients, dead and
108 recovered. To keep the models as simple as possible, we made a series of assumptions: i) recovered
individuals remained immune from re-infection for the duration of the pandemic; ii) individuals
stopped to be infectious once they were admitted to hospital (i.e. we did not model transmission
111 within healthcare settings).

Calibration and cross-validation of the model

114 The model was calibrated using the current number of infected, ICU and non-ICU patients, dead
and recovered in Italy from February 24th to March 24th. These figures were obtained from the
website of the Italian Civil Protection.² We used the R function *optim()* to perform a
117 multidimensional optimization of the model, selecting the parameters' values that minimized the
mean square error between fitted and observed number of individuals in each compartment.
In the final model, mean residence times in the different compartments were reasonably consistent
120 with those reported in the scientific literature and those observed in our University Hospital
(Maggiore Hospital, Novara). Once the parameters were set, we evaluated the predictive accuracy
of the model through cross-validation, comparing the predicted number of subjects in each
123 compartment with the actual figures observed between March 25th and April 17th. Supplementary

figure 2 shows that predicted number of subjects in the different compartments were very close to the observed ones, suggesting a satisfactory predictive accuracy of the models.

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Scenario analysis

We then used our model to forecast the Italian hospital demand until September 1st 2020, under
129 different scenarios:

In Scenario A (“intermittent lockdown”) we first assumed that from April 18th to April 30th the
evolution of the pandemic follows the same trend as in the previous weeks, with a steady reduction
132 of new cases of infection over time equivalent to an effective reproductive number (R_t) of 0.9.

Then, we hypothesized that the lockdown is temporary lifted from May 1st to May 30th.

Consequently, we assumed that two weeks after the lift the number of new cases starts to increase
135 again, in a similar fashion ($R_t = 1.3$) as it was observed in Italy in the period before the lockdown
entered into effect. A lag time of two weeks was included to take into account COVID-19
incubation period and the diagnostic delay after symptoms onset.¹⁰

138 In Scenario B (“gradual relaxation of the lockdown”) we assumed that from May 1st onwards the
restrictive measures are progressively reduced over time. This translates into a gradual increase of
 R_t , from 0.9 to 1.2 (Supplementary figure 3).

141 We then evaluated changes in ICU and non-ICU demand under the two scenarios. ICU and non-
ICU needs were compared with the maximum hospital bed utilization for COVID-19 observed
before April 17th.

144 To evaluate the uncertainty of our forecasts, we run Monte Carlo simulations sampling the values of
the parameters from uniform distributions (from -30% to +30% of each parameter’s estimated
value). We generated 95% confidence intervals [CIs] by taking the 2.5% and 97.5% percentile
147 estimates from 100 simulations.

Ethics committee approval

150 The study was based on publicly available aggregate data. No Ethics committee approval was necessary.

153 **RESULTS**

COVID-19 numbers in Italy, as on April 17th

On April 17th, about one month after the implementation of containment measures in Italy, the
156 current number of infected subjects, ICU and non-ICU patients in Italy was 106,962, 2812 and
25,786, respectively (Figure 1). The number of ICU and non-ICU admissions peaked some weeks
earlier (April 3rd and 4th) and then declined, while the number of infected was still on the rise, but
159 approaching a plateau. The maximum recorded demand for ICU and non-ICU was 4068 and 29,010
beds, respectively.

162 Scenario Analysis

Under scenario A (“intermittent lockdown”), a rise in the number of infected is predicted to start in
middle May, about two weeks after the end of the lockdown, followed by an increase in the demand
165 of ICU and non-ICU beds at the beginning of June (Figure 1). The maximum demand of ICU and
non-ICU beds will occur in the first weeks of July. While ICU needs will remain below the peak
levels observed in April 2020 (61%; 95%CI: 41 to 78), the number of non-ICU will substantially
168 rise and will exceed the maximum demand recorded in the early phase of the pandemic (132%;
95%CI: 95 to 174). Starting from the second part of July bed demand will start to decrease, but non-
ICU needs will still remain high until the end of August.

171 Under scenario B (“gradual relaxation of the lockdown”), a rise in the demand of ICU and non-ICU
beds will start to be evident in July and will progressively increase over the summer (Figure 2). At
the end of August ICU and non-ICU demand will be 91% (95%CI: 70 to113) and 223% (95%CI:
174 180 to 272) of the April peak. Differently from the previous scenario, no reduction in both ICU and
non-ICU demand is predicted during the time frame covered by the simulation.

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DISCUSSION

In this study we showed that an early reduction of the community containment measures currently
180 in place in Italy could shortly translate into a substantial increase of ICU and non-ICU demand. In
particular, a gradual lift of the lockdown (which is currently under discussion by Italian
Government) is expected to double non-ICU admissions and to bring the number of ICU needs to
183 the same levels observed in the early phase of the pandemic.

Our results can be useful for the Italian health system to be prepared for the next phase of the
COVID-19 pandemic. Within the hospital system, critical care services are fundamental during
186 epidemics of infectious respiratory diseases,⁶ as repeatedly demonstrated during H1N1, SARS, or
MERS outbreaks. During the early stage of an epidemic, a phased response can be useful to
gradually increase treatment capacity while preserving critical care resilience and preventing the
189 collapse of the system. Similarly, a phased deactivation of resources is of paramount importance
during the recovery stage of epidemics, since the risk of new outbreaks is consistent¹¹ and the
scarce, remaining assets should be properly managed.

192 Our analysis also shows that non-ICU demand could become a rate-determining step for the health
systems, because of the length of stay in the wards and the step-down of patients from the ICU. The
former could be partly due to the commonly used the discharge criteria for COVID-19 cases. The
195 European Centre for Disease Prevention and Control (ECDC) currently recommends clinical
resolution and two negative tests for the discharge.¹² However, ECDC recognizes that in the context
of sustained widespread transmission (as it is currently the case in Italy), hospital discharge should
198 be also based on other factors such as the existing capacity of the healthcare system, laboratory
diagnostic resources, and the current epidemiological situation.¹² In particular, the discharge from
hospital of mild cases – if clinically appropriate – may be considered, provided that they are placed
201 into home care or another type of community care.¹² Telemedicine could be also useful to foster
early discharge of patients, thus helping to keep non-ICU demand under control.

Our model is based on a number of assumptions and has limitations. In particular, we assumed that
204 the trend of non-ICU and ICU admission rates in the next months will remain similar to what we
observed so far. Thus, for example, an improvement in the community-based management of
COVID-19 patients would translate into a reduction of hospital demand. Moreover we did not take
207 into account the gradual increase in the proportion of immune individuals in the population, a
phenomenon that is expected to naturally occur during the course of an epidemic. However,
considering that the prevalence of infected is still low in Italy (<10%), this simplification should not
210 have substantially affected our results.¹³

In conclusion, our results suggest that Italian hospital demand is likely to remain high in the next
months if restrictions are reduced, which seems likely to occur. Given the cuts recently suffered by
213 the Italian National Health System,¹⁴ planning for next months should consider a diffuse increase in
healthcare resources to maintain at least the contingency level across the country. The available
assets should be deployed to the most struggling parts of the country with a certain grade of
216 flexibility over time, taking also into account the immunity status of the population.

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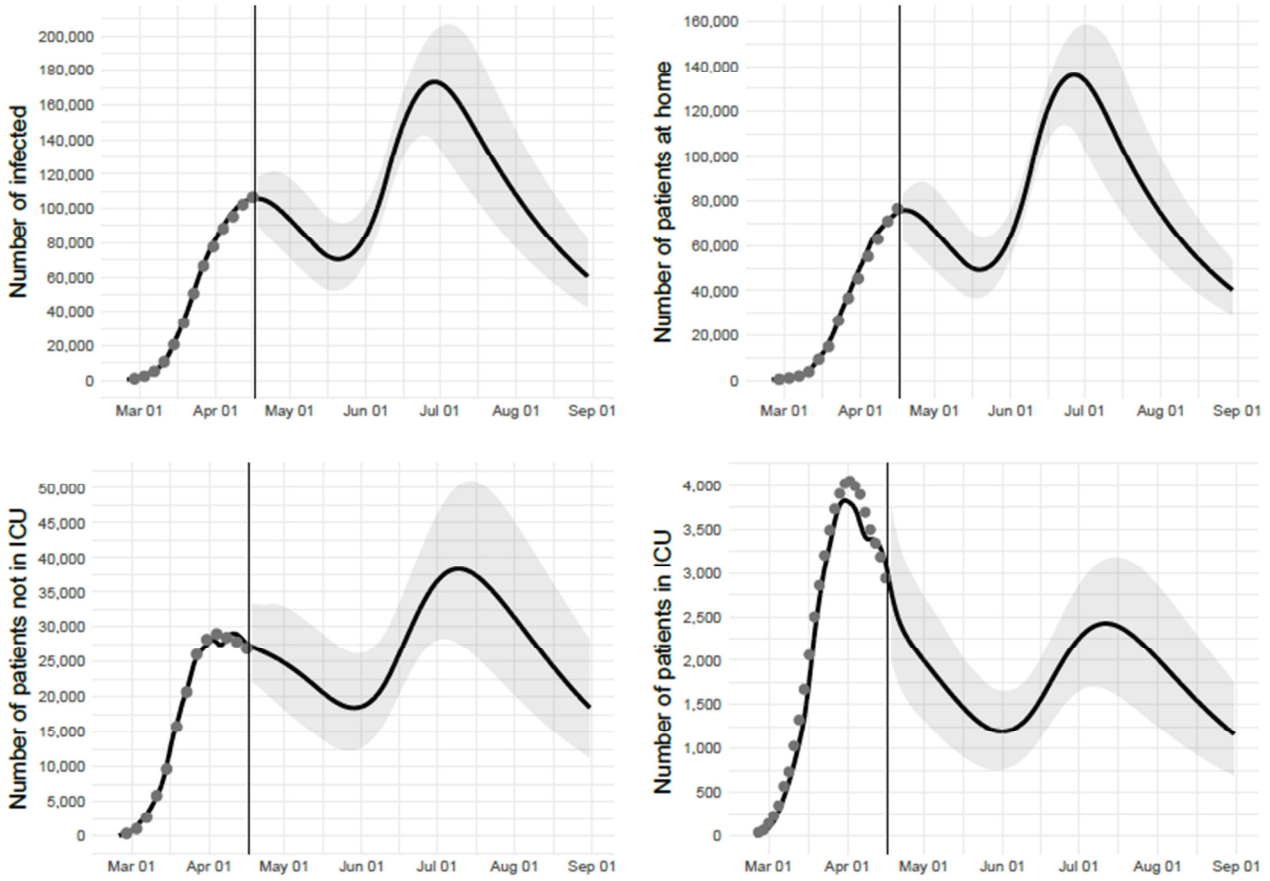
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FIGURES

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279 **Figure 1.** Observed (circles) and predicted (solid line) number of infected, patients at home, non-ICU hospitalized patients, and ICU patients over time. Scenario A (Intermittent lockdown).



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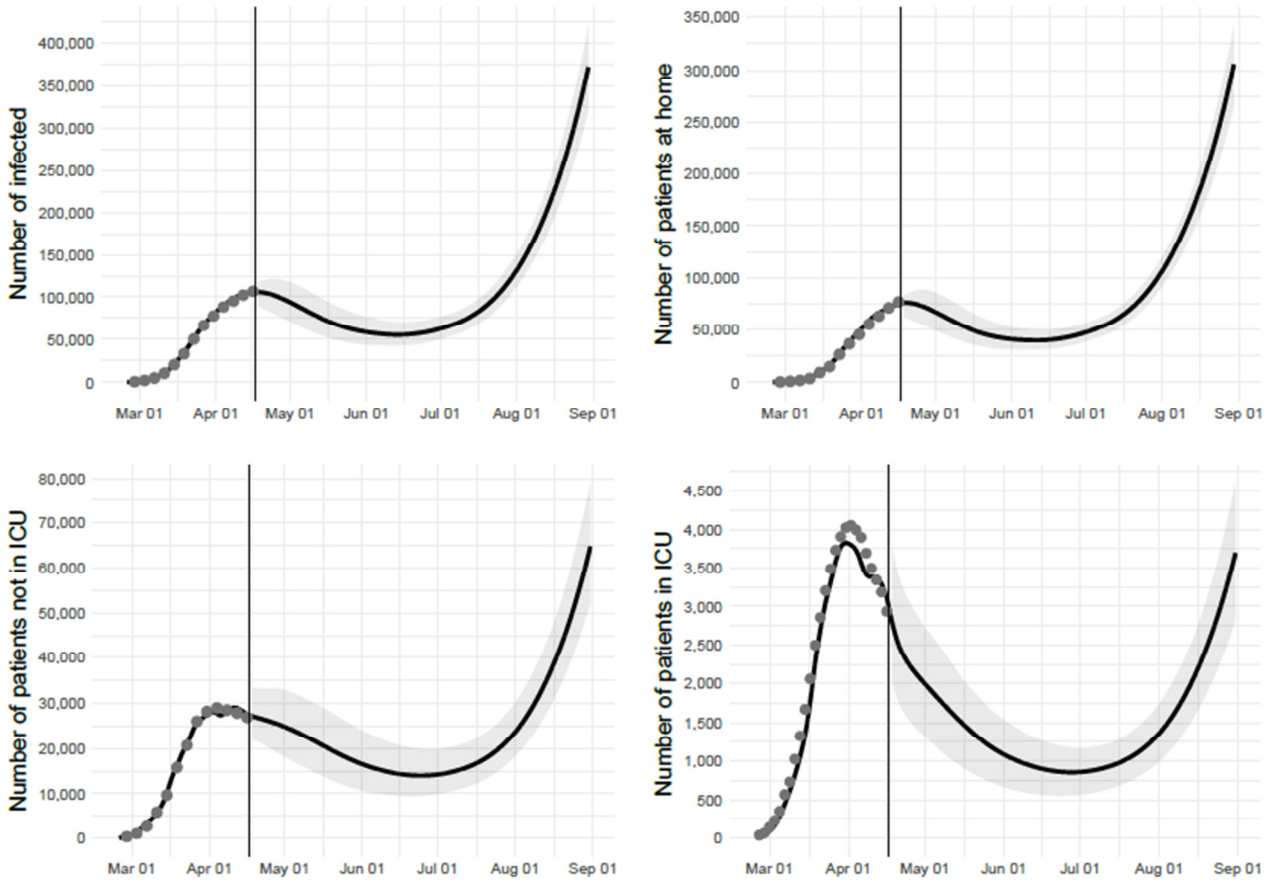
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303 **Figure 2.** Observed (circles) and predicted (solid line) number of infected, patients at home, non-
ICU hospitalized patients, and ICU patients over time. Scenario B (gradual relaxation of the
lockdown).

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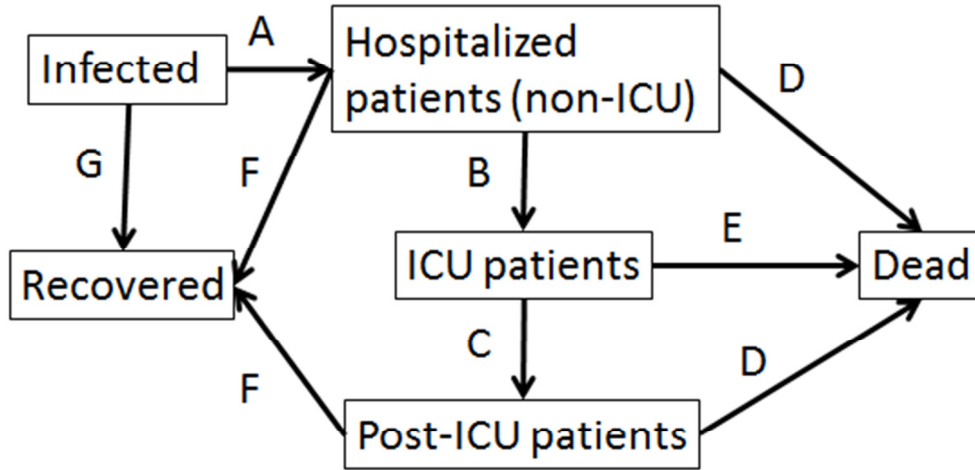
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SUPPLEMENTARY MATERIAL

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333 **Suppl. figure 1.** Overview of the compartmental model. Capital letters represent transition rates of the model.



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339 **Suppl. Table 1.** Parameters of the model.

Parameter	Value
A (hospitalization rate)	Declining over time from 41% to 2.3% per day
B (rate of ICU admission)	Declining over time from 8% to 1.5% per day
C (discharge rate from ICU)	0% during the first 4 days of staying in ICU, then 22% per day
D (mortality rate in non-ICU wards)	1.1% per day
E (mortality rate in ICU)	13% per day
F (hospital discharge rate)	0% during the first 6 days of hospital stay, then 5.3% per day
G (recovery rate for not hospitalized patients)	Subjects recover 29 days after the diagnosis.

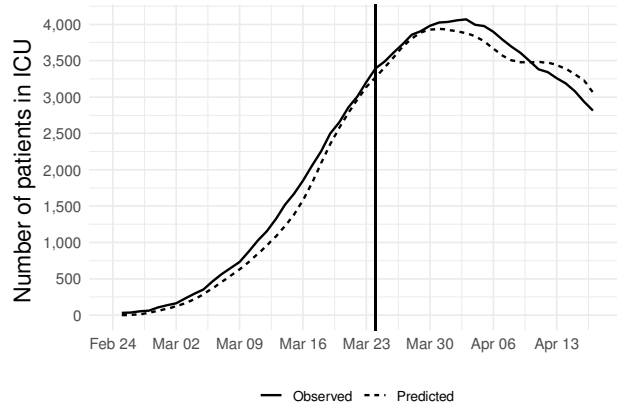
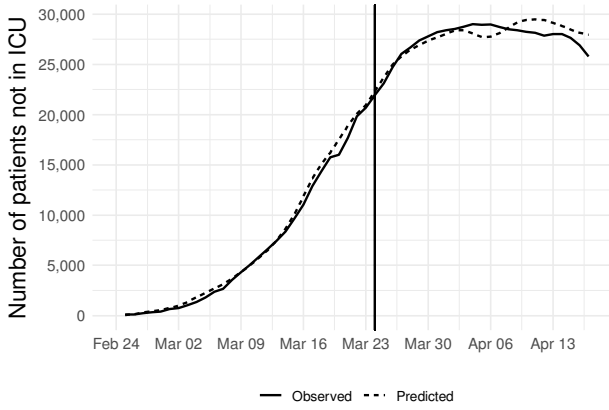
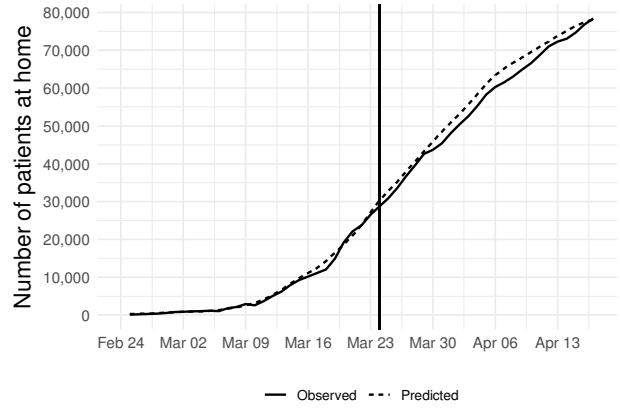
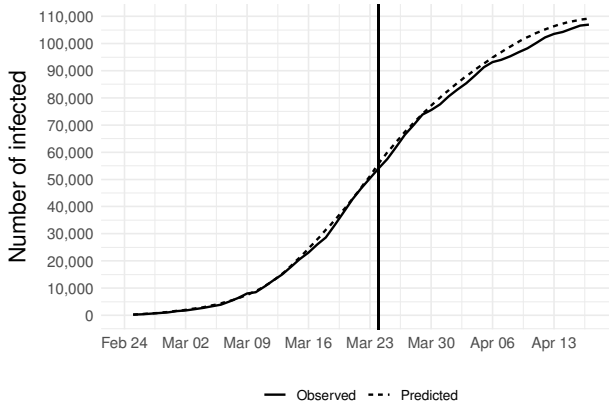
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354 **Suppl. figure 2.** Cross-validation of the model. Predicted and observed values in the different compartments.



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Suppl. Figure 3. Changes of the effective reproductive number (R_t) under the assumed scenarios.

